

18 JAN 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER Oxford U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Not yet assigned 10/565533
INTERNATIONAL APPLICATION NO. PCT/GB2004/003117	INTERNATIONAL FILING DATE 16 July 2004	PRIORITY DATE CLAIMED 18 July 2003
TITLE OF INVENTION MAGNETIC PARTICLES FOR THERAPEUTIC TREATMENT		
APPLICANT(S) FOR DO/EO/US Oxford Instruments Superconductivity Limited and Celltech R&D Limited		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) Not yet assigned 10/565533		INTERNATIONAL APPLICATION NO. PCT/GB2004/003117		ATTORNEY'S DOCKET NUMBER Oxford											
20. Other items of information: 1. Unexecuted Combined Declaration and Power of Attorney. 2. International Preliminary Report on Patentability with 4 cited references.															
The following fees have been submitted: 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) \$300 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations \$200 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations \$500 TOTAL OF 21, 22 and 23 =				<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:50%;">Calculations</th> <th style="width:50%;">PTO use only</th> </tr> </thead> <tbody> <tr> <td>\$ 300</td> <td></td> </tr> <tr> <td>\$ 200</td> <td></td> </tr> <tr> <td>\$ 400</td> <td></td> </tr> <tr> <td>\$ 900</td> <td></td> </tr> </tbody> </table>		Calculations	PTO use only	\$ 300		\$ 200		\$ 400		\$ 900	
Calculations	PTO use only														
\$ 300															
\$ 200															
\$ 400															
\$ 900															
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.															
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE												
- 100 =	/50 =		x \$250	\$											
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE												
Total claims	67 - 20 =	47	x \$50	\$ 2350											
Independent claims	3 - 3 =	0	x \$200	\$ 0											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0											
TOTAL OF ABOVE CALCULATIONS =				\$ 3250											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.															
SUBTOTAL =				\$ 3250											
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0											
TOTAL NATIONAL FEE =				\$ 3250											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0											
TOTAL FEES ENCLOSED =				\$ 3250											
				Amount to be refunded:	\$										
				Amount to be charged:	\$										

10/565533

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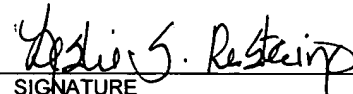
- AP20 Recd PTO 18 JAN 2006**
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1698. A duplicate copy of this sheet is enclosed.
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number 55734

Leslie G. Restaino
Thelen Reid & Priest LLP
200 Campus Drive, Suite 210
Florham Park, NJ 07932


SIGNATURE

Leslie G. Restaino
NAME

38,893
REGISTRATION NUMBER

10/565533

Docket No: Oxford

18 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert Andrew Slade et al.
Serial No.: Not yet assigned
Filing Date: January 18, 2006
Title: MAGNETIC PARTICLES FOR THERAPEUTIC TREATMENT


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAILING CERTIFICATE

I hereby certify that this paper, bearing Express Mailing Label No.
EV 693030352 US, together with the accompanying papers directed to the above-identified
U.S. National Phase Patent Application, is being deposited with the United States Postal
Service "Express Mail Post Office to Addressee" for service under 37 C.F.R. § 1.10 on the
date indicated below and is addressed: Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450.

January 18, 2006

Date



Diane Filatov, Mailer

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